A New Cyclic Peptide and a New Steroid from the Fungus *Penicillium* sp. GD6 Isolated from the Mangrove *Bruguiera gymnorrhiza*

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A rare new cyclic tetrapeptide, 5,5'-epoxy-MKN-349A (1), featured by a MKN-349A (5) skeleton and containing an uncommon ether bridge between C(5) and C(5'), and a new steroid, named 11-Oacetyl-NGA0187 (2), together with two known steroids, 3 and 4, were isolated from an endophytic fungus *Penicillium* sp. GD6 associated with the Chinese mangrove *Bruguiera gymnorrhiza*. The structures of the new compounds were elucidated on the basis of extensive spectroscopic analyses and by comparison with the data of related compounds reported in literature. Neither of the compounds 3 and 4, isolated in this study, showed obvious bioactivities in the antibacterial bioassay experiments.

Introduction. – Marine microorganisms, especially marine-derived fungi, are widely recognized as emerging sources of secondary metabolites [1]. Mangrove-associated fungi, the second largest ecological group of the marine-derived fungi, have been reported to produce a wide variety of structurally unique and biologically active compounds [2]. In particular, many fungi were recently isolated from the mangrove *Bruguiera gymnorrhiza*. Chemical investigation on these fungi led to the isolation of a number of bioactive compounds, such as meroterpenoids [3], dihydroisocoumarins [4], and biphenyl derivatives [5].

In the course of our continuing efforts aiming at searching for new bioactive substances from the marine microorganisms [6], a fungal strain *Penicillium* sp. GD6, recently isolated from the Chinese mangrove *B. gymnorrhiza* collected from Zhanjiang, China, was selected for further investigation, since the crude AcOEt extract showed potent antibacterial activity against *Staphylococcus aureus* with a *MIC* value of 6.4 μ g/ml. A preliminary chemical investigation of the title fungus led to the isolation and identification of a novel pyrrolizidine alkaloid, named penibruguieramine A [7]. Our re-investigation on the crude extract of the culture of *Penicillium* sp. GD6 has now resulted in the isolation of a novel cyclic tetrapeptide, named 5,5'-epoxy-MKN-349A (1), and a new steroid, 11-*O*-acetyl-NGA0187 (2), along with two known steroids 3 and 4. The new peptide 1 shares the same structural framework as the previously reported cyclopeptide MKN-349A (5), but possesses an additional ether bridge between C(5) and C(5'). Herein, we report the isolation and structure elucidation of the two new compounds 1 and 2 (*Fig. 1*).

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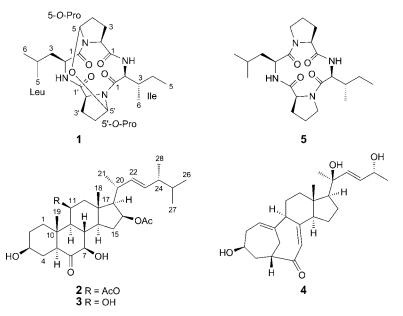


Fig. 1. Structures of compounds 1–5

Results and Discussion. – The whole culture of *Penicillium* sp. GD6 was extracted with AcOEt and BuOH after a static fermentation (300 ml × 100 flasks) for 30 days. The AcOEt-soluble fractions were repeatedly chromatographed on silica gel, reversed-phase (RP)- C_{18} silica gel, and RP-HPLC column to afford pure compounds **1** (0.8 mg) and **2** (0.6 mg), together with two known steroids.

The structures of the known steroids were readily identified as NGA0187 (3) [8] and cyclocitrinol (4) [9] by comparison of their spectroscopic data with those reported in the literature.

Compound **1** was isolated as optically active, white amorphous powder. The molecular formula, $C_{22}H_{34}N_4O_5$, was determined by the HR-ESI-MS (m/z 457.2418 ($[M + Na]^+$, calc. 457.2427)), suggesting eight degrees of unsaturation. The IR spectrum of **1** exhibited absorption bands at 3424 and 1676 cm⁻¹, implying the presence of the amide functional groups. Further, the presence of the four amide functionalities were deduced by the observation of the resonances arising from four C=O C-atoms (δ (C) 166.6, 167.6, 169.6, and 171.2) and four N-containing CH groups (δ (C) 60.4, 57.6, 57.2, and 53.3) in the ¹³C-NMR and DEPT spectra (*Table*), indicating the tetrapeptide nature of the isolate. Moreover, due to its negative reaction to ninhydrin [10], **1** was inferred to be a cyclotetrapeptide.

A literature search revealed that the ¹³C-NMR data of **1** showed similarities with those of the model cyclopeptide, MKN-349A (**5**; *Table*), which was previously isolated from a marine-derived bacterium of the genus *Nocardiopsis* [11]. Actually, careful comparison of the ¹³C-NMR data of **1** with those of **5** revealed clearly that both **1** and **5** contain the same Ile and Leu residues that were further confirmed by careful analyses of the 2D-NMR spectra, including HSQC, COSY, and HMBC (*Fig.* 2). In fact, the

Moiety	Position	1		5 [11]
		$\overline{\delta(\mathrm{H})^{\mathrm{a}})}$	$\delta(C)^{b})$	$\delta(C)$
5-O-Pro/Pro (I)	1		$171.2 (s)^{c}$	172.4 (s)
	2	4.31 - 4.38 (m)	57.2 (d)	60.0 (d)
	3	2.33 - 2.38(m), 1.81 - 1.87(m)	30.9(t)	29.5 (t)
	4	2.38 - 2.44(m)	26.8(t)	23.2(t)
	5	5.70-5.77 (m)	82.1 (d)	46.2 (<i>t</i>)
Ile	1		166.6 (s)	167.6 (s)
	2	$3.97 - 4.01 \ (m)$	60.4(d)	61.3(d)
	3	2.27 - 2.31 (m)	35.2(d)	37.1 (d)
	4	1.39 - 1.42 (m), 1.18 - 1.25 (m)	24.0(t)	25.4 (t)
	5	0.93 (t, J = 6.8)	12.0(q)	12.6(q)
	6	1.05 (d, J = 7.2)	15.9(q)	15.5 (q)
5'-O-Pro/Pro (II)	1′/1		169.6 (s)	171.6 (s)
	2′/2	4.31 - 4.38 (m)	57.6 (d)	59.3 (d)
	3′/3	2.33 - 2.38 (m), 1.81 - 1.87 (m)	30.9 (t)	29.9 (t)
	4′/4	2.27 - 2.31 (m)	26.2 (t)	23.1 (t)
	5′/5	5.70-5.77(m)	82.0(d)	46.7 (<i>t</i>)
Leu	1		167.6 (s)	169.1 (s)
	2	4.06 (dd, J = 10.9, 3.3)	53.3 (d)	57.1 (d)
	3	1.99-2.06 (m), 1.54 (ddd, J = 14.6, 10.1, 5.1)	38.4(t)	43.7 (t)
	4	1.74 - 1.75(m)	24.6(d)	25.5(d)
	5	1.01 (d, J = 6.6)	23.3(q)	23.3 (q)
	6	0.96 (d, J = 6.6)	21.1(q)	21.9(q)

Table. ¹H- and ¹³C-NMR Data for Compounds 1 and 5

^a) Recorded at 500 MHz in CDCl₃. ^b) Recorded at 125 MHz in CDCl₃. ^c) Assigned by HMBC spectrum.

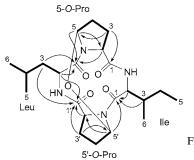


Fig. 2. ${}^{1}H, {}^{1}H$ -COSY (—) Correlations and selected key HMBCs (H \rightarrow C) of 1

main differences between **1** and **5** were the disappearance of two CH₂ groups at C(5) in the two Pro residues in **5** (δ (C) 46.2 and 46.7), accompanying the appearance of two Obearing CH groups resonating at δ (C) 82.0 and 82.1 in **1** (*Table*).

The identification of the other two amino acid moieties was accomplished by extensive interpretation of the 2D-NMR spectra of 1. Considering the cyclotetrapeptide nature of 1, the remaining unassigned signals in the NMR spectra should

be ascribed to the additional two amino acid moieties. Detailed analysis of the ¹H-NMR spectra revealed that two sets of ¹H-NMR signals for the other two amino acid moieties were heavily overlapped. Particularly, the well-resolved ¹H-NMR resonances at $\delta(H)$ 5.70–5.77 (H–C(5) and H–C(5')) and 4.31–4.38 (H–C(2) and H-C(2')) (Table) were each integrated for two H-atoms, ascribable to four N-bearing CH groups. In the ¹³C-NMR spectrum, only slight differences for two series of similar ¹³C-NMR resonances were observed (*Table*). The above observations indicate that the structures of the two amino acid residues are probably the same. Analyses of the COSY and HSQC spectra of 1 allowed the identification of the spin system X–CH–CH₂–CH₂–CH–X' (*Fig.* 2). The N-bearing CH (δ (H) 4.31–4.38 (H–C(2)); δ (C) 57.2) exhibited clear correlations with the adjacent CH₂ H-atoms (δ (H) 2.33 – 2.38 and 1.81 - 1.87 (CH₂(3))), which, in turn, were further correlated with the CH₂ H-atoms resonating at $\delta(H)$ 2.38–2.44 (CH₂(4)). The connection CH₂(4)/H–C(5) was established by the cross-peak from the CH₂ H-atoms (δ (H) 2.38–2.44 (CH₂(4))) to the O-bearing CH group ($\delta(H)$ 5.70–5.77 (H–C(5)); $\delta(C)$ 82.1). Finally, the key HMBC from H–C(5) (δ (H) 5.70–5.77) to the N-bearing CH C-atom (δ (C) 57.2 (C(2)) defined the structure of a pyrrolidine ring, indicating the presence of an Osubstituted proline residue. Thus, the structure for the third residue, namely 5-O-Pro, was established. Analogously, the structure of 5'-O-Pro moiety was determined by detailed interpretation of the ¹H,¹H-COSY, HSQC, and HMBC spectra.

With the identification of four amino acid residues, the connectivity of these residues to complete the structure was established by HMBC experiments (*Fig.* 2). By analogy with the model compound **5** [11], the diagnostic HMBCs from H–C(2) (δ (H) 4.31–4.38) and H–C(5) (δ (H) 5.70–5.77) in 5-*O*-Pro to the C=O C-atom (δ (C) 167.6) of Leu allowed the connection of 5-*O*-Pro and Leu. Correlations of the H-atom signals at δ (H) 4.06 (H–C(2) of Leu), and 1.54 and 1.99–2.06 (CH₂(3) of Leu) to the C=O C-atom (δ (C) 169.6) of 5'-*O*-Pro evidenced a peptide linkage between Leu and 5'-*O*-Pro. The presence of a peptide linkage between 5'-*O*-Pro and IIe was secured by correlations of the C=O C-atom (δ (C) 166.6) with H-atoms (δ (H) 5.70–5.77 (H–C(5')) of 5'-*O*-Pro. Although the long-range correlations between C(1) (δ (C) 171.2) of 5-*O*-Pro and H–C(2) (δ (H) 3.97–4.01) of IIe were not observed in the HMBC spectrum, considering the cyclic peptide nature of **1** and the almost identical chemical shift values of C(1) in 5-*O*-Pro and C(2) in IIe to those of **5** (*Table*), it is obvious that there is a peptide linkage between C(1) in 5-*O*-Pro and N-atom of IIe. The backbone of **1** was thus determined as the same as that of MKN-349A (**5**) (*Fig.* 1) [11].

Subtraction of the atoms present in the above elaborated macrocyclic core from the molecular formula of **1** indicated that the only unassigned O-atom has to form the ether bridge between C(5) and C(5') according to the unsaturation degree required by the molecular formula, and the downfield ¹³C-NMR chemical shifts for C(5) and C(5'). As a consequence, the constitution of 5,5'-epoxy-MKN-349A (**1**) was thus elucidated as shown in *Fig. 1*.

With the gross structure of 1 being solved, the next task was to determine the absolute configuration of the amino acid residues and the configuration of the ether bridge. Unfortunately, the limited amount and the unstable nature of compound 1 prevented us from assigning the absolute configuration of each amino acid residue by *Marfey*'s methodology [12], and determining the relative configuration of the ether

bridge. However, on the basis of biogenetic consideration, L-configurations are tentatively suggested for all four amino acid residues. This assignment was further supported by the negative optical rotation sign of 1 [13]. It may be worth to point out that the C-atom signals of 1 were observed in pairs in the ratio of *ca.* 3:1 in the ¹³C-NMR spectrum. This phenomenon could be rationalized due to the adoption of different conformations of the amide bonds of the 5-*O*-Pro-Leu and the 5'-*O*-Pro-IIe, usually observed in the proline-rich peptides [14].

Now, we can conclude that compound **1** has a same cyclotetrapeptide skeleton as the model compound **5** [11], but possesses an uncommon ether bridge between C(5) and C(5'). To the best of our knowledge, compound **1** is the first example of a cyclotetrapeptide with an ether bridge.

Besides the above mentioned cyclotetrapeptide, three steroids, **2**–**4**, of which **2** was new, were also isolated. The structure elucidation of **2** was straightforward by comparing the NMR data of **2** with those of the co-occurring steroid, NGA0187 (**3**), isolated previously from the fermentation broth of *Acremonium* sp. [15]. A comparison of overall ¹H- and ¹³C-NMR data of compounds **2** and **3** revealed great similarities between them. In fact, **2** differs from **3** only by the presence of an additional *O*-Ac functionality, which was evidenced by the resonances at $\delta(H)$ 2.04 in its ¹H-NMR spectrum, and at $\delta(C)$ 169.8 and 21.7 in its ¹³C-NMR spectrum (*Exper. Part*). Since the corresponding H-atom signal of H–C(11) was shifted downfield from $\delta(H)$ 4.39 in **3** [8] to $\delta(H)$ 5.41 in **2**, the acetylation was determined to occur at *O*–C(11), which was further supported by the obvious COSY correlations between H–C(11) ($\delta(H)$ 5.41) and CH₂(12) ($\delta(H)$ 2.27–2.31 and 1.35–1.42). Therefore, compound **2** was established as the 11-*O*-Ac derivative of **3**, consistent with 42 mass unit difference between **2** and **3**.

Compounds 3 and 4 were tested for their antibacterial activities against the methicillin-sensitive *S. aureus Newman* strain. Unfortunately, they were inactive at the concentration of $320 \,\mu\text{g/ml}$. Due to the scarcity of compounds 1 and 2, and the unstability of compound 1, their antibacterial activities could not be evaluated.

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Experimental Part

General. Anal. TLC: Precoated silica gel plates (SiO₂; Yantai Zifu Chemical Group Co., G60 F_{254}). Column chromatography (CC): commercial SiO₂ (Qingdao Haiyang Chemical Group Co., 200–300 mesh). Reversed-phase (RP) HPLC: Shimadzu LC-6AD series liquid chromatography with a Diode Array Detector at 210 nm and a semi-prep. Eclipse XDB-C18 column (250 mm × 9.4 mm i.d., 5-µm particle size). Optical rotations: PerkinElmer 341 polarimeter. IR Spectra: Nicolet-Magna FT-IR 750 spectrometer; $\tilde{\nu}$ in cm⁻¹. NMR Spectra: Bruker DRX 500 equipped with a TCI CryoProbe; δ in ppm rel. to solvent (CDCl₃) residue CHCl₃ (δ (H) 7.26 ppm; δ (C) 77.0 ppm) as internal standard; J in Hz; the assignments were supported by ¹H,¹H-COSY, HSQC, and HMBC experiments. HR-ESI-MS: Q-TOF Micro LC/MS-MS mass spectrometer; in m/z.

Fungal Material. The fungal strain GD6 was isolated from the stem bark of *B. gymnorrhiza* collected in Zhanjiang, China, in April 10, 2009. It was initially identified as *Penicillium* species based on its morphological characters and the internal transcribed spacer (ITS) sequence (accession No. in GenBank: KF 604117). The frozen samples of this fungus are deposited with the School of Life Science & Technology in China Pharmaceutical University (Sample GD6).

Fermentation, Extraction, and Isolation. The whole cultures $(100 \times 300 \text{ ml flasks})$ were filtered through a four-layer cheesecloth to separate mycelia from broth. The broth was extracted with AcOEt to give an evaporated extract (11.27 g). The dried mycelia were homogenized and extracted with CHCl₃/MeOH 1:1, and the evaporated extract was partitioned between AcOEt and H₂O to yield an AcOEt-soluble extract (9.89 g). These two parts were combined for further separation based on their identical TLC profiles. This extract was separated by CC (*MCI* gel; MeOH/H₂O 50:50 to 100:0) to give seven fractions, *Frs. A – G. Fr. A* was subjected to CC (SiO₂; petroleum ether (PE)/acetone 8:2 to 1:1) eluent to obtain three subfraction, *Frs. A1–A3. Fr. A3* was purified by reversed-phase-HPLC (MeOH/H₂O 60:40) to yield **1** (0.8 mg). *Fr. E* was separated by CC (SiO₂; PE/AcOEt 7:3 to 1:9), and purified by RR *C18* SiO₂ (MeOH/H₂O 50:50 to 70:30) to give **4** (1.6 mg). *Fr. F* was subjected to CC (SiO₂; CH₂Cl₂/MeOH 100:1 to 20:1) to afford **2** (0.6 mg) and **3** (1.6 mg), resp.

5,5'-*Epoxy-MKN-349A* (=(3\$,6\$,8a\$,11\$,14\$,16a\$)-*Dodecahydro-6-[(*1\$)-1-*methylpropyl*]-14-(2-*methylpropyl*)-3,11-*epoxydipyrrolo*[1,2-a:1',2'-g][1,4,7,10]tetraazacyclododecine-5,8,13,16-tetrone; **1**). White amorphous powder. [a]_D^D = -62.8 (c=0.07, MeOH). IR: 3424 (br.), 2961, 2924, 2853, 1676, 1262, 1096, 802. ¹H- and ¹³C-NMR: see the *Table*. HR-ESI-MS: 457.2418 ([M+Na]⁺, C₂₂H₃₄N₄NaO₅⁺; calc. 457.2427).

11-O-Acetyl-NGA0187 (= (3β,5α,7β,11β,16β,22E)-3,7-Dihydroxy-6-oxoergost-22-ene-11,16-diyl Diacetate = (38,58,7R,88,98,10R,118,138,148,168,17R)-17-[(2R,3E,5R)-5,6-Dimethylhept-3-en-2-yl]hexadecahydro-3,7-dihydroxy-10,13-dimethyl-6-oxo-1H-cyclopenta[a]phenanthrene-11,16-diyl Diacetate; 2). White amorphous powder. $[a]_{20}^{20} = +47.0$ (c = 0.05, CHCl₃). IR: 3427, 2962, 2925, 2854, 1739, 1463, 1378, 1261, 1095, 1021, 801. ¹H-NMR (CDCl₃, 500 MHz): 5.41 (*dd*, *J* = 5.0, 2.4, H–C(11)); 5.14–5.17 (*m*, H-C(22), H-C(23); 5.11 (dd, J=12.4, 7.7, H-C(16)); 3.74 (d, J=8.6, H-C(7)); 3.67 (d, J=8.7, 10.5); 3.67 (d, J=8.7, 10.5)); 3.67 (d, J=8.7, H-C(3)); 2.62-2.67 (*m*, H_a-C(15)); 2.49-2.52 (*m*, H-C(20)); 2.27-2.31 (*m*, H-C(5), H_a-C(12)); 2.15-2.19 (m, H–C(8)); 2.04 (s, 11-AcO); 1.98 (s, 16-AcO); 1.85-1.90 (m, H_a–C(2), H_a–C(4)); 1.77-1.79 (*m*, H–C(24)); 1.65–1.70 (*m*, H_a–C(1)); 1.47–1.49 (*m*, H_a–C(9)); 1.35–1.42 (*m*, H_b–C(1), $H_b-C(12), H-C(14), H_b-C(15), H-C(25)); 1.22-1.25 (m, H_b-C(2), H_b-C(4), H-C(17)); 1.03 (s, H_b-C(17)); 1.03 (s, H_b-C(17)); 1.04 (s, H_b-C(17)); 1.04 (s, H_b-C(17)); 1.05 ($ Me(18); 1.01 (d, J = 6.7, Me(21)); 0.86 (d, J = 6.8, Me(28)); 0.81 (s, Me(19)); 0.81 (d, J = 6.8, Me(27)); 0.79 (d, J = 6.8, Me(26)). ¹³C-NMR (CDCl₃, 125 MHz): Not detected for C(6); 170.0 (s, 16-AcO); 169.8 (s, 11-AcO); 134.7 (d, C(22)); 133.1 (d, C(23)); 78.5 (d, C(7)); 74.5 (d, C(16)); 69.9 (d, C(3)); 69.8 (d, C(11)); 60.1 (*d*, C(17)); 55.8 (*d*, C(14)); 54.4 (*d*, C(5)); 54.2 (*d*, C(9)); 44.2 (*t*, C(12)); 43.6 (*s*, C(10)); 43.3 (s, C(13)); 43.3 (d, C(24)); 42.8 (d, C(8)); 36.3 (2t, C(1), C(15)); 34.2 (d, C(20)); 33.0 (d, C(25)); 30.1 (t, C(2); 29.1 (t, C(4)); 21.7 (q, 11-AcO); 21.5 (q, 16-AcO); 21.1 (q, C(21)); 20.1 (q, C(27)); 19.7 (q, C(26)); 20.1 (q, C(27)); 2 18.0 (q, C(28)); 15.5 (q, C(19)); 14.9 (q, C(18)). HR-ESI-MS: 569.3474 ($[M + Na]^+$, $C_{32}H_{50}NaO^{\pm}$; cale. 569.3454).

Antibacterial Assay. The antimicrobial activities of the crude AcOEt extract of GD6, and compounds **3** and **4** against *S. aureus Newman* strain were evaluated by *MIC* (minimum inhibitory concentration) method. All the compounds were diluted with culture broth to 320 µg/ml as the initial concentration. Further 1:2 serial dilutions were performed by addition of culture broth to reach concentrations ranging from 160 to 0.3125 µg/ml. 100 µl of each dilution was distributed in 96-well plates, as well as sterility controls and growth controls (containing culture broth plus DMSO, without compounds). Each test and growth control well was inoculated with 5 µl of a bacterial suspension (*ca.* 10⁵ CFU/well). The 96-well plates were incubated at 37° for 16 h. *MIC* Values of the compounds against *S. aureus Newman* strain were defined as the lowest concentration to inhibit the bacterial growth completely.

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